



ACCOUNT OPENING FORM

EQUITY

F&O

CURRENCY

COMMODITY

DEPOSITORY

FIXED INCOME

MUTUAL FUND

Client Code

Client ID



SKI
Grow with us

 **SKI CAPITAL SERVICES LIMITED**

Regd. Office : 718, Dr. Joshi Road, Karol Bagh, New Delhi-110005

Tel. : 011-45046000 (Board No.) E-mail : contact@skicapital.net Website:www.skicapital.net

SEBI REGN NO.	DATE	EXCHANGES/DEPOSITORY
INZ000188835	01-AUGUST-2018	NSE/BSE/MSEI/MCX
IN-DP-08-2015	JAN. 14, 2015 Old Reg. Date FEB. 2000	NSDL

Segment	Clearing Member	SEBI Regn.No.
BSE NSE F & O	AXIS BANK LIMITED	INZ000257435
BSE MSEI NSE CD	SMC GLOBAL SECURITIES LTD.	INZ000199438
NSE BSE MCX Commodity	SMC GLOBAL SECURITIES LTD.	INZ000199438

Exchange/Depository	Compliance Officer	Contact No.	E-mail ID
NSE	Rajkumar Khandelwal	011-45046013	finance@skicapital.net
BSE	Rajkumar Khandelwal	011-45046013	finance@skicapital.net
MSEI MCX	Vinod Chandak	011-45046008	commodities@skicapital.net
NSDL	Birendra Singh Bora Rajkumar Khandelwal	011-45046019 011-45046013	dpm@skicapital.net finance@skicapital.net

You may also approach our CEO :

Name **Narinder Wadhwa** Contact No. **9810508640** E-mail ID **ceo@skicapital.net**

For any grievance/dispute please contact SKI Capital Services Ltd. email id- grievance@skicapital.net, contact@skicapital.net and Phone no. 011-45046000 (Board No.).

If not satisfied with the response of the SKI Capital Services Limited, you may contact the concerned Stock Exchange/Depository at the following-

Exchange	Web Address	Contact No.	E-mail ID	Exchange	Web Address	Contact No.	E-mail ID
BSE	www.bseindia.com	022-22728097	is@bseindia.com	MCX	www.mcxindia.com	022-66494070	grievance@mcxindia.com
NSE	www.nseindia.com	022-26598190	ignse@nse.co.in	NCDEX	www.ncdex.com	022-66406789	ig@ncdex.com
MSEI	www.msei.in	022-61129028	investorcomplaints@msei.in	NSDL	www.nsdl.co.in	022-24994200	relations@nsdl.co.in

You can also lodge your grievances with SEBI at <http://scores.gov.in>. For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.

Important Note : SKI do not accept cash & SKI do not offer any assured/guaranteed return.
SKI नकद स्वीकार नहीं करता। SKI एश्योर्ड/गारंटी के साथ वापसी का कोई भुगतान स्वीकार नहीं करता।

குறிப்பு : **SKI** ரொக்கமாக பணத்தைப் பெறுவதில்லை.

SKI எந்தப் பொருளையும் உறுதியாக திரும்பத் தருவாக உத்தரவாதம் தருவதில்லை
Form and Do's & Don'ts available in all vernacular languages on our SKI website.

I hereby declare that I have read all the terms of cash acceptance policy of company and I/We will not pay it to any one for my trading account and I will be solely responsible for the same.

मैं एतद द्वारा घोषणा करता हूँ की मैंने SKI कैपिटल सर्विसिस की नकदी से सम्बन्धित नीति को पढ़ लिया है और मैं किसी भी व्यक्ति को SKI कैपिटल सर्विसिस लिमिटेड के पक्ष में नकद राशी नहीं दूंगा और मैं इसके लिए स्वयं जिम्मेवार हूंगा।

Cheque with Account Opening Rs. _____ Chq. No. _____ Bank Name _____
(Min. Rs. 2000)

I further declare that i understood that SKI does not offer any assured or guaranteed return scheme on investments.

Client's Signature



INDEX OF DOCUMENTS
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

S. No.	Name of Document	Brief Significance of the Document	Page No.
1.	Account Opening Form	KYC and Account opening Form - Document captures the information about the constituent and an Instruction/checklist	
		For Individuals	4-8
		For Non-Individuals	9-11
		Trading and Demat Account Related Details For both Individuals and Non-individuals	12-13
2.	Rights and Obligations	Document stating the Rights & Obligations of SKI, AP, and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology-based trading)	Annex S1
3.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Annex S2
4.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	Annex S3
5.	Policies and Procedures	Document describing significant policies and procedures of SKI	Annex S4
6.	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied	14

VOLUNTARY DOCUMENTS AS PROVIDED BY SKI

S. No.	Name of Document	Brief Significance of the Document	Page No.
7.	Running Account Authorization	Letter of Authorization for maintaining a Running Account with SKI	15
8.	ECN/ Statements through Web or Email/Mobile Declaration	Client consent letter for receiving Email and SMS from SKI	16
9.	Letter of Authorization	Undertakings by the client with respect to SKI's internal operations	17-18
10.	Power of Attorney	This Document confers specific rights on SKI for operating your Demat account and for transferring the shares for margin/ pay-in purpose and authorises SKI to act based on the instructions given by you	19
11.	Acknowledgment from client	Acknowledgment that the client has read all the rules and regulations	20
12.	PMLA Declaration	Declaration by client as per PML Act, 2002	21



INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered

Lease or Sale Agreement of Residence / Driving License/ Flat Maintenance bill/ Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/ Gazetted Officer/Notary. public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/ document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members. 7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken. 8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs. 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below :

Type of Entity	Documentary Requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership Firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated Association or a Body of Individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form

Important Instructions:

- A) Fields marked with "*" are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.



For office use only	Application Type* <input type="checkbox"/> New <input type="checkbox"/> Update	
(To be filled by financial institution)	KYC Number	(Mandatory for KYC update request)
	Account Type* <input type="checkbox"/> Normal <input type="checkbox"/> Simplified (for low risk customers) <input type="checkbox"/> Small	

1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				
Date of Birth*	DD-MM-YYYY			
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector) <input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student) <input type="checkbox"/> B-Business <input type="checkbox"/> X- Not Categorised			

2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number	<input type="text"/>	Passport Expiry Date	DD-MM-YYYY
<input type="checkbox"/> B- Voter ID Card	<input type="text"/>		
<input type="checkbox"/> C- PAN Card	<input type="text"/>		
<input type="checkbox"/> D- Driving Licence	<input type="text"/>	Driving Licence Expiry Date	DD-MM-YYYY
<input type="checkbox"/> E- UID (Aadhaar)	<input type="text"/>		
<input type="checkbox"/> F- NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	<input type="text"/>	Identification Number	<input type="text"/>

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type*	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified
Proof of Address*	<input type="checkbox"/> Passport	<input type="checkbox"/> Driving Licence	<input type="checkbox"/> UID (Aadhaar)		
	<input type="checkbox"/> Voter Identity Card	<input type="checkbox"/> NREGA Job Card	<input type="checkbox"/> Others	<input type="text"/> please specify	
	<input type="checkbox"/> Simplified Measures Account - Document Type code	<input type="text"/>			

Address

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3 City / Town / Village*

State* ZIP / Post Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -

FAX - Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Prefix First Name Middle Name Last Name

Name*

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

A- Passport Number Passport Expiry Date

B- Voter ID Card

C- PAN Card

D- Driving Licence Driving Licence Expiry Date

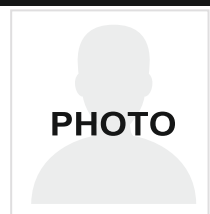
E- UID (Aadhaar)

F- NREGA Job Card

Z- Others (any document notified by the central government) Identification Number

S- Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)



8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : -- Place :



Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name

Code

[Institution Stamp]

PART II - ACCOUNT OPENING FORM

DP ID : IN301508

DP ID	I N 3 0 1 5 0 8	Client ID							
-------	------------------------	-----------	--	--	--	--	--	--	--

I/We request you to open a depository account in my/our name as per following details :

(Please fill all the details in CAPITAL/BLOCK LETTERS only)

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

A. TYPE OF ACCOUNT (Please tick whichever is applicable)

<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non Repatriable	<input type="checkbox"/> Qualified Foreign Investor
<input type="checkbox"/> FPI	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Others (Specify) _____	

B. DETAILS OF ACCOUNT HOLDER(S)

Account Holder(s)	Sole/First Holder	Second Holder	Third Holder
Name			
PAN			
Date of Birth			
Occupation (Please tick any one and give brief details)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Sector <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Pl. Spcify) _____	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Sector <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Pl. Spcify) _____	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Sector <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Pl. Spcify) _____
Mobile			
Family Flag for Mobile	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
E-mail			
Family Flag for E-mail	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Brief Details			

C. For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Person (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below :

Name _____	PAN								
------------	-----	--	--	--	--	--	--	--	--

D. STANDING INSTRUCTIONS

1.	I/We authorise you to receive credits automatically into my/our account (If not marked default option would be Yes)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
2.	Account to be operated through Power of Attorney (PoA) (If not marked default option would be Yes)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
3.	SMS Alert facility : [Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form]			
	Sr. No.	Holder	Yes	No
	1.	Sole / First Holder	<input type="checkbox"/>	<input type="checkbox"/>
	2.	Second Holder	<input type="checkbox"/>	<input type="checkbox"/>
	3.	Third Holder	<input type="checkbox"/>	<input type="checkbox"/>
4.	Mode of receiving Statement of Account [Tick any one]	<input type="checkbox"/> Physical Form <input type="checkbox"/> Electronic Form [Read Note 3 and ensure that email ID is provided in KYC Application Form]		

E. GUARDIAN DETAILS (where sole holder is a minor) :

[For account of a minor, two KYC/KRA Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Guardian Name	
PAN	
Date of Birth	
Relationship of Guardian with Minor	

NOMINATION OPTION (For DP & Trading both)

<input type="checkbox"/> I/We wish to make a nomination. (As per details given below)	<input type="checkbox"/> I/We do not wish to make a nomination. (Strike off the nomination details below)
---	---

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person in whom all rights and/or amount payable in respect of securities/funds held in the Depository by me/us in the said beneficiary owner account shall vest in the event of my/our death.

Nomination can be made upto three nominees in the account.		Details of 1 st Nominee	Details of 2 nd Nominee	Details of 3 rd Nominee
1	Name of the nominee(s) (Mr./Ms.)			
2	Share of each Nominee	Equally <input type="checkbox"/> %	%	%
	[if not equally please specify percentage]	<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>		
3	Relationship With the Applicant (If Any)			
4	Address of Nominee(s)			
	PIN Code			
5	Mobile/Telephone No. of nominee(s)			
6	Email ID of nominee(s)			
7	Nominee Identification details - [Please tick any one of following and provide details of same]			
	<input type="checkbox"/> Photograph & Signature			
	<input type="checkbox"/> PAN			
	<input type="checkbox"/> Aadhaar			
	<input type="checkbox"/> Saving Bank account no.			
	<input type="checkbox"/> Proof of Identity			
	<input type="checkbox"/> Demat Account ID			

Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:

8	Date of Birth [in case of minor nominee(s)]			
9	Name of Guardian (Mr./Ms.) [in case of minor nominee(s)]			
10	Address of Guardian(s)			
	PIN Code			
11	Mobile/Telephone No. of Guardian			
12	Email ID of Guardian			
13	Relationship of Guardian with nominee			



14	Guardian Identification details - [Please tick any one of following and provide details of same]			
	<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Idnetity <input type="checkbox"/> Demat Account ID			

Signature of one Witness for nomination		
Name of Witness	Address	Signature of Witness with Date
a)	_____	

DECLARATION

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant". I also have trading account with SKI Capital Services Ltd. You are requested to debit my monthly charges of DP to my trading account.

Notes:

General Instructions :

- i. All communication shall be sent at the address of the Sole/Prst holder only.
- ii. Thumb impressions and signatures other than English or Hindi of any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- iii. Strike off whichever is not applicable.

Instructions related to nomination, are as below:

- 1 The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney can not nominate. If the account is held jointly, all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee. subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect or the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and tile Participant against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore please mention the complete details of all the nominees.
10. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
11. Savings bank account details shall only be considered if the account is maintained with the same participant.
12. DP ID and client ID shall be provided where demat details is required to be provided.

	Sole/First Holder / Guardian (Mr./Ms.) (in case of Minor)	Second Holder (Mr./Ms.)	Third Holder (Mr./Ms.)
Name			
Client Signatures			

KNOW YOUR CLIENT (KYC) APPLICATION FORM

 Please fill this form in **ENGLISH** and in **BLOCK LETTERS**
A. IDENTITY DETAILS

Name of the Applicant

Date of incorporation Place of Incorporation

Date of Commencement of Business

PAN Registration No. (e.g. CIN)

Status (please tick any one)

- Private Limited Co. Public Ltd. Co. Body Corporate Partnership Trust Charities NGO's
 FI FPI HUF Bank Government Body Non-Government Organization
 Defense Establishment BOI Society LLP Others (please specify)

 Please affix
your recent
passport size
photograph and
sign across it

B. ADDRESS DETAILS

Correspondence Address :

City/Town/Village PIN Code.....

State Country

Contact Detail : Tel. (Off.) Tel. (Res.) Mobile.....

Fax E-mail ID

Specify the proof of address submitted for Correspondence Address :

Registered Address (if different from above or overseas address, mandatory for Non-Resident Applicant) :

City/Town/Village PIN Code..... State Country.....

Specify the proof of address submitted for Registered address

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

 Date Name & Signature of the Authorised Signatory 

FOR OFFICE USE ONLY

<input type="checkbox"/> Originals verified and Self Attested Document copies received	
In - Person - Verification (IPV) Details	
A) Name of the person doing IPV	
B) Designation	
C) Name of Organization	
D) Signature	
E) Date	

Date Name & Signature of the SKI Authorised Signatory Seal/Stamp of the intermediary



Details of Promoters / Partners / Karta / Trustees and whole time directors forming a part of Know Your Client (KYC Application Form for Non-Individuals

Sr. No.	Name	Relationship with Applicant (i.e. promoters whole time directors etc.	PAN	Residential / Registered Address	DIN of whole time directors / Aadhaar Number of Promoters / Partners / Karta	Photograph
1						
2						
3						
4						
5						


Date

Date

Name & Signature of the SKI Authorised Signatory

Name & Signature of the Authorised Signatory

Seal/Stamp of the intermediary

Client's Signature  _____

PART II - ACCOUNT OPENING FORM DP ID : IN301508

DP ID	I N 3 0 1 5 0 8	Client ID							
-------	------------------------	-----------	--	--	--	--	--	--	--

I/We request you to open a depository account in my/our name as per following details : Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

(Please fill all the details in CAPITAL/BLOCK LETTERS only)

A. TYPE OF ACCOUNT (Please tick whichever is applicable)

<input type="checkbox"/> Body Corporate	<input type="checkbox"/> FI	<input type="checkbox"/> FPI	<input type="checkbox"/> Qualified Foreign Investor	<input type="checkbox"/> Mutual Fund
<input type="checkbox"/> Trust	<input type="checkbox"/> Bank	<input type="checkbox"/> CM	<input type="checkbox"/> Others (Specify) _____	

B. DETAILS OF ACCOUNT HOLDER(S)

Account Holder(s)	Sole/First Holder	Second Holder	Third Holder
Name			
PAN			
Date of Incorporation			

C. For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Person (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below :

Name _____	PAN	
------------	-----	--

D. In Case of FIs/Others (as may be applicable)

RBI Approval Reference Number		RBI Approval Date	
SEBI Registration Number (For FIs)			

E. STANDING INSTRUCTIONS

1.	We authorise you to receive credits automatically into our account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2.	Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.	SMS Alert facility :		
	Sr. No.	Holder	Yes
	1.	Sole / First Holder	<input type="checkbox"/>
	2.	Second Holder	<input type="checkbox"/>
	3.	Third Holder	<input type="checkbox"/>
4.	Mode of receiving Statement of <input type="checkbox"/> Physical Form		
	Account [Tick any one]	<input type="checkbox"/> Electronic Form [Read Note 3 and ensure that email ID is provided in KYC Application Form]	

Mode of Operation for Sole/First Holder (in case of joint holdings, all the holders must sign)

Any one singly Jointly by As per resolution Others (Pl. Specify)

DECLARATION

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the beneficial owner and Depository Participant". I also have trading account with SKI Capital Services Ltd./SKI Consultancy Services Ltd. and my account code is You are requested to debit my monthly charges of DP to my trading account.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories)

	Sole/First Holder (Mr./Ms.)	Second Holder (Mr./Ms.)	Third Holder (Mr./Ms.)
Name			
Client Signatures	_____	_____	_____

TRADING & DEMAT ACCOUNT RELATED DETAILS

For both Individuals & Non-individuals

A. BANK ACCOUNT(S) DETAILS
I. PRIMARY BANK ACCOUNT DETAIL FOR TRADING & DP

Bank Name	Bank Address	Bank Account No.	Account Type	MICR No.	IFSC Code
			<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRI <input type="checkbox"/> NRO		

II. SECONDARY BANK ACCOUNT DETAIL FOR TRADING & DP

Bank Name	Bank Address	Bank Account No.	Account Type	MICR No.	IFSC Code
			<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRI <input type="checkbox"/> NRO		













B. DEPOSITORY ACCOUNT(S) DETAILS

Depository Participant Name	Depository Name (NSDL/CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

I authorize you to transfer the shares purchased by me to the above mentioned demat beneficiary account.

C. TRADING PREFERENCE

Select and Sign against the Segment of Exchange in which Trading facility required:

<input type="checkbox"/> NSE - Cash  _____ Client's Signature	<input type="checkbox"/> BSE - Cash  _____ Client's Signature	<input type="checkbox"/> MSEI - Cash  _____ Client's Signature
<input type="checkbox"/> NSE - F&O  _____ Client's Signature	<input type="checkbox"/> BSE - F&O  _____ Client's Signature	<input type="checkbox"/> MSEI - F&O  _____ Client's Signature
<input type="checkbox"/> NSE - Currency  _____ Client's Signature	<input type="checkbox"/> BSE - Currency  _____ Client's Signature	<input type="checkbox"/> NSE / BSE - DEBT  _____ Client's Signature
<input type="checkbox"/> NSE - Commodity  _____ Client's Signature	<input type="checkbox"/> BSE - Commodity  _____ Client's Signature	<input type="checkbox"/> MCX - Commodity  _____ Client's Signature

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

D. ADDITIONAL DETAILS

- Whether you wish to receive physical contract note or Electronic Contract Note (ECN) : _____
 Specify your Email id, for ECN: ONLY IN CAPITAL LETTERS _____
- Whether you wish to avail of the facility or internet trading/ wireless technology : Yes No (if yes, then please specify)
- Application Base Trading Web Base Trading Securities Trading using Wireless Technology NOW
- Number of Years of Investment / Trading Experience : _____
- Any other information : _____

E. OTHER DETAILS

- Gross Annual Income Details** : Income Range per annum : Below Rs. 1 Lac Rs. 1 Lac to 5 Lac
(please tick ✓) Rs. 5 Lac to 10 Lac Rs. 10 Lac to 25 Lac Rs. 25 Lac to 1 Crore >1 Crore
- Net Worth** (Net worth should not be older than 1 year) **Amount Rs**
ad on (date)
- Occupation** : Private Sector Public Sector Government Sector Business
(please tick any one and give brief details) Professional Agriculturist Retired Housewife
 Student Forex Dealer Others _____ Please Specify
- Is the entity involved/providing any of the following services** Yes No
- For Foreign Exchange/Money Changer Services Yes No - Gaming/Gambling/Lottery Services (e.g. casinos, betting syndicates)
- Money Lending / Pawning Yes No
- Please tick, if applicable** (Note : In case of Non-individuals please tick, if applicable for any of your authorised signatories/promoters/Partners/Karta/Trustees/whole time directors) :
 Politically Exposed Person (PEP) Related to Politically Exposed Person (PEP)
- Any other information** :

F. GST DETAILS

GST Number of Client
 GST Implementation Location
 Please Attach Photocopy of GST Registration Certificates.

G. PAST ACTIONS

- Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its authorized persons in charge of dealing in securities during the last 3 years :

H. DEALINGS THROUGH OTHER STOCK BROKERS / AP

- Whether dealing with any other stock broker / AP (if case dealing with multiple stock broker / AP, provide details of all)
Name of Stock Broker: _____ Name of AP, if any : _____
Client Code: _____ Exchange : _____
- Details of disputes/dues pending from/to such stock broker / AP : _____

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that / we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place: _____

Date:



Signature of Client/(all) Authorized Signatory(ies)

BROKERAGE SLAB
CASH SEGMENT

Brokerage Slab	Slab %	Minimum Paise	One Side / Both Side
Delivery Based			
Daily Square up			

DERIVATIVES SEGMENT

Brokerage Slab	Slab %	Minimum Paise / Per Lot	One Side / Both Side
Future			
Option			

CURRENCY DERIVATIVES SEGMENT

Brokerage Slab in % / per lot (in Rs.)	Minimum brokerage per lot

OTHER CHARGES

Clearing Charges	Additional Charges	Other Charges

TARIFF SHEET FOR DEMAT (INDIVIDUALS / CORPORATES)

	Service	General Investor Scheme	Lifetime AMC free
		Category - I	Category - II
1	Other charges (POA Stamp Paper Charges)	Rs _____	Rs _____
2	Annual Maintenance Charges (For Individuals)	Rs 400.00	Nil
3	Annual Maintenance Charges (For Corporates)	Rs 1000.00	Nil
4	Life time AMC Charges	NA	Rs 1500.00
5	Dematerialisation Charges	Min. Rs. _____ . or Rs. _____ per certificate if no. of certificates more than 10 + Courier Charges Rs. _____	
6	Demat Rejection Charges	Rs 50 per rejection	
7	Market/Off-Market Transactions*	Market (per transaction)	Off-Market (per transaction)
	Buying	NIL	NIL
	Selling	Rs. _____ or 0.03% whichever is higher	Rs. _____ or 0.03% whichever is higher
8	Rematerialisation Charges	Rs. 250/- for every hundred securities or part thereof subject to maximum Rs. 500000/- (including courier charges)	
9	Pledge Creation Charges	Rs 50.00 or 0.010% whichever is higher	
	Pledge Closing/Invocation Charges	Rs. 50.00	
10	Instruction Book	Rs. 100.00 per book	
11	GST and other Taxes	As applicable	

Please open my demat account in category - I category - II

I/We agree to pay the charges as per mentioned charge structure for our Trading and Demat account with SKI Capital Services Ltd. Any other charges not mentioned above, will be charged extra.

For SKI CAPITAL SERVICES LTD.

Authorised Signatory



Sole/First Holder



Second Holder



Third Holder

Please Note : In case of non-payment of the bills/dues within 30 days of due date, interest shall be charged @ 2% extra per month. The depository services are liable to be discontinued on such defaults without giving the future notice. For re-activating and resuming the services of the demat account Rs. 100.00 shall be charged.

RUNNING ACCOUNT AUTHORIZATION

To,
SKI Capital Services Limited
718, Dr. Joshi Road, Karol Bagh, New Delhi-110005

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise

I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.

In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.

I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

I/We confirm you that I can revoke the above-mentioned authority at any time.

All accounts with credit balances of Rs. 10000/- or lesser shall be automatically retained and transfer of funds to the bank account shall not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE/INSP/24849 & 20091204-7

I/We request you to settle my fund and securities account (choose one option)

- Once in a calendar Month
- Once in every calendar Quarter

except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt

This running account authorization would continue until it is revoked by me.

Thanking you,
Yours faithfully,

Date :

Client's Signature



[Note : To be signed by person himself/herself not to be signed by his/her attorney/ authorised person etc.]

ECN/Mobile Declaration/SMS Alert/Statements through Web or Email

To,
SKI Capital Services Limited
 718, Dr. Joshi Road, Karol Bagh, New Delhi-110005

Sir/Ma'am,

I/We have been/shall be dealing through you as my/our broker on the Capital Market and/or Futures & Options Segments/Currency Derivative Segments/Commodity Derivative Segments/Mutual Fund. This instruction is applicable for all the exchanges/segments in which I/we have opened account with you. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below.

I/We understand that, I/we have the option to receive the contract notes in physical form or electronic form. In pursuance of the same, I/we hereby opt for receipt of contract notes in electronic form. I/We hereby also give my/our consent for providing me/ us Trade Confirmations through your SMS alert services.

I/We agree not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email servers, loss of connectivity, email in transit etc. I/we agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non receipt/delayed receipt for any reason whatsoever.

I/We also agree that non-receipt of bounced mail notification by you shall amount to delivery at my/our email id(s)

I/we understand that SMS Alert service is purely a voluntary facility provided by you to us and it shall be my/our responsibility to check the data with the actual contract notes/financial ledger given by you. The messages flashed shall be merely reminders for my/our convenience and I/we agree not to hold you liable for any incompleteness/ inaccuracies in the messages sent and that I/ We shall take all our actions based on the normal confirmations received by me/us.

I/We agree not to receive physical transaction statement, holding statement and financial ledger in paper form.

In case, in any of the above segments/exchanges due to any reason whatsoever, if you want to send contract notes, Transaction statement, holding statement, and financial ledger in physical form, I/we here by permit you to send the same in physical mode.

I/we understand that I am required to intimate any change in the email id/ mobile number mentioned herein above through a duly signed request letter in original to you, provided however that if I/we am/are an internet client then in that event the request for change in email id/email account can be made by me/us through a secured access using client specific user id and password. Please treat this authorization as written ratification of my/our verbal directions/authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above. Accordingly, please note my current email id and Mobile Number on your record for sending the communication to me/us. For this purpose, I/We would like to confirm following details for the data base maintained by you. Please update the records with the same. I Will Update in future in writing if there is any change in the E-mail Id & Mobile no.

My email id is	
My Mobile Number is	

Date :

Client's Signature  _____

Letter of Authorisation

To,

SKI Capital Services Limited

718, Dr. Joshi Road, Karol Bagh, New Delhi-110005

ORDER PLACEMENT INSTRUCTIONS

• I understand that you require written instructions from me for placing/modifying/cancelling orders. However, since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even If I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting/calling/ emailing the call centre/branch specified for the said purpose by SKI in case of breakdown of internet connectivity or other similar reasons.

• I hereby request you to kindly accept my verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other approved modes. I indemnify SKI and its employees against all trade related losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

ERRORS AND OMISSIONS

• I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances SKI shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold SKI responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by SKI.

NO MARKET MANIPULATION

• I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, SKI has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

NOT TO ACT AS UNREGISTERED AP

• I undertake not to act as unregistered AP and deal only for myself and not on behalf of other clients In case I wish to deal for other clients also, I undertake to apply to SEBI through SKI to obtain a AP registration. In case SKI perceives that I am acting as an unregistered AP, SKI has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to SKI without the requirement of any notice from SKI. Further, SKI has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify SKI from any loss/ damage/claim arising out of such activity.

NOT DEBARRED BY ANY REGULATOR

• I confirm and declare that there is no bar on me imposed by any Exchange or any regulatory and/or statutory authority to deal in securities directly or indirectly. I agree to inform SKI, in writing, of any regulatory action taken by any Exchange or regulatory/ statutory authority on me in future. In case I fail to inform the same and SKI on its own comes to know of such action, SKI has the right to suspend/close my trading account and refuse to deal with me. Also, SKI can at its sole discretion, close all the open positions and liquidate collaterals to the extent of trade related debit balances, without any notice to me.

INDEMNIFICATION

I hereby indemnify and hold SKI, its Directors and employees harmless from and against all trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the SKI directly or indirectly, relating to bad delivery of shares/securities and/or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/operations on the Exchange(s) and/or proof of address, identity and other supporting/ documents provided by me at the time of registration and/or subsequently.

INDEMNITY OF JOINT HOLDINGS

I hereby agree to indemnify and hold SKI harmless from any trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.

MUTUAL FUND FACILITY

I am interested in availing the BSE StAR/NSE Mutual Fund facility of the Exchange(s) for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the StAR platform of the Exchange. For the purpose of availing the Mutual Fund facility, I state that "Know Your Client" details as submitted by me for the opening of

Trading Account may be considered and I/we further confirm that the details contained in the same remain unchanged as on date. I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

DELAYED PAYMENT CHARGES

I understand that in case my account is in debit balance and/or if I have insufficient funds to manage my trading positions, I will be charged an interest as applicable per day as delayed payment charges. I confirm having read the rules & regulations pertaining to the levy of such interest under the policies & procedures page on SKI's website.

NRI DECLARATION

I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to SKI every time the investment is made.

THIRD-PARTY PAYMENTS

SKI shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along with adequate proof thereof as per proforma prescribed by SKI. SKI shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by SKI and the credit for the same has been given in the client's ledger, SKI shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, SKI reserves the right to liquidate any of the open positions and/or any of the collaterals received/ held on behalf of the client. SKI, its Directors and employees shall not be responsible for any consequential damages or losses.

NO DEALINGS IN CASH

SKI as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/AP/Remisier/Employee/Authorised Person of SKI.

DISCLOSURE OF PROPRIETARY TRADING BY SKI

I acknowledge that pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, SKI discloses to its clients about its policies on proprietary trades. Further, I am fully aware that SKI does proprietary trades in the cash and derivatives segment at NSE/BSE/MCX/MSEI

DELIVERIES

The client shall ensure that the shares are properly transferred to the designated demat account of SKI, for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/SKI. In case the client fails to transfer the shares on time to SKI, SKI shall not be responsible for any loss/damages arising out of such delayed transfers.

SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS (to the extent of Settlement Margin obligation)

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then SKI has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. SKI, its Directors and Employees shall not be responsible for any trade related loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favour of SKI.

After such square off of open positions by SKI, as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, SKI shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. SKI, its Directors and employees shall not be responsible for any trade related loss or damages arising out of such selling.

Date :

Client's Signature



**THIS IS AN VOLUANTRY DOCUMENT
POWER OF ATTORNEY**

To all to whom these presents shall come I/We _____ & _____ & _____ individual/partnership firm/ corporate, being Indian inhabitant send(s) greetings. Whereas I/we have a beneficial owner account number _____ (hereinafter referred to as the said 'BO account') with **SKI Capital Services Ltd.** (Hereinafter called as "SKI" & also as "DP") **DP ID IN301508** with NSDL and bank account no. _____ with _____ (Bank),

(Branch), We also have the trading/ investment account with **SKI Capital Services Ltd** and I/we am/are desirous of appointing an agent/ attorney to operate said account on my behalf in the manner hereinafter appearing.

Now know we all and these presents witness that I/we, the above named, do hereby nominate, constitute and appoint **SKI Capital Services Ltd.** an Indian company registered under Companies Act, 1956 acting through its Directors and/or Officers authorised for the purpose, as our true and lawful attorney(s) (herein after referred to as "the attorney(s)") for me/us and on my/our behalf and in my/our name, to do the following acts, deeds and things and exercise the following powers and authorities;

1. To do all such thing and give all such instructions as mentioned below concerning the said account as I/we myself/ourselves could give if I/we was/were personally present.
2. To transfer funds from my/our aforementioned bank account to **913020047114224** (bank a/c of **SKI**) with **Axis Bank Ltd., Barakhamba Road, New Delhi** branch only in the following circumstances
 - a. For meeting my/our settlement obligations/ margin requirements in connection with the trades executed by me/us the clients on the stock exchange through the **SKI**;
 - b. For recovering any outstanding amount due from me/us arising out of my/ our trading activities on the stock exchanges through the **SKI** and/or depository activities through the **DP**;
 - c. For meeting obligations arising out of my subscribing to such other products/facilities/services through the **SKI** like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, buyback, takeover, etc.
 - d. Towards monies/fees/charges, etc. due to the **SKI** payable by virtue of my using/subscribing to any of the facilities/services availed by me at his/her instance.
3. To treat the securities in my BO account as margin against my transactions in capital and derivative segments of NSE, BSE, MSEI, and MCX.
4. To instruct the DP to debit securities from my/our beneficiary account to the below mentioned demat accounts to the extent of shares sold by me/us for pay-in obligations and/or for the purpose of collateral/margin deposit to any stock exchange or clearing member(s)

Particular	DP ID - Client ID	Particular	DP ID - Client ID
NSE Pool Account	IN301508-10000641	Stock-Broker- Client Collateral A/c	IN301508-10122138
BSE Pool Account	IN301508-10050935	Stock-Broker- Collateral A/c	IN301508-10122200

6. To instruct the DP to debit securities from my/our BO to the above-mentioned accounts, which have been wrongly credited in my/our BO account.
7. To transfer charges levied in my BO account to my trading ledger account of NSE, BSE, MSEI and MCX.
8. And for all or any of the purpose aforesaid, to appoint from time to time a substitute or substitutes and revoke such substitution. The appointment of any such substitute shall not affect or prejudice the rights or powers of the Attorney to act hereunder and the Attorney may continue to do so notwithstanding such appointments.

And I hereby agree and confirm that the powers and authorities conferred by this power of attorney shall continue until I inform to the contrary, in writing duly acknowledged by DP.

SIGNED AND DELIVERED BY THE WITHIN NAMED ON _____ DAY OF _____ (MONTH) _____ (YEAR)

Client Signature :  _____  _____  _____
 (1st Holder) (2nd Holder) (3rd Holder)

IN THE PRESENCE OF (Witness name, signature & address):

1. _____ 2. _____

 For **SKI Capital Services Ltd.**

Auth. Signatory / Director

ACKNOWLEDGEMENT TO SKI FROM CLIENT

To,
SKI CAPITAL SERVICES LIMITED
718, Dr. Joshi Road, Karol Bagh, New Delhi-110005

I/we acknowledge that I/we have read and understood the contents, and have been made available a copy of the following documents:

- **Trading and Demat Account Related Details**
- **Tariff Sheet (Trading & Depository Participant)**
- **Right and Obligations of Stock Broker, Sub-Broker and Client for trading on exchange including additional rights & obligations in case of internet/wireless technology based trading**
- **Right and Obligations of Beneficiary owner and DP as prescribe by SEBI and Depository**
- **Uniform Risk Disclosure documents for all segments/exchanges**
- **Guidance note-Do's and Don't for trading on the Exchange(s) for Investors**
- **Policies and procedures**
- **Terms & Conditions for operating the trading/deamt account**
- **FATCA & CRS Terms & Conditions**
- **Other disclosure/documents relating to my trading and demat account**

I/we am/are abiding by these terms & conditions. I/we reconfirm that I/we and stock broker shall refer any claim and/or disputes with respect to deposits, margin money, etc. to arbitration as per the Rules, Byelaws, and Regulation of the Exchanges where the trade is executed and Circulars/notices issued there under as may be in force from time to time.

I/we reconfirm that I/we and Stock Broker shall refer all claims, differences or disputes between us arising out of or in relation to dealings, contracts and transaction made Subject to the Bye-Laws, Rules and Regulations of the Exchange or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, Interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of Whether such dealings, transactions and contracts have entered into to Arbitration in accordance with the provisions of these Byelaws and Regulations.

I/we acknowledge that I/we have read and understood the additional terms and conditions for availing the mutual fund investment facility, which is displayed for Information on SKI's designated website, and are bound by the same. I/we shall keep myself updated of the same.

I/we further declare that I/We have read all SKI policies for my trading & demat account.

Thanks and Best regards,

Client Code : _____

Demat A/c No. : _____

Date : _____

For **SKI Capital Services Limited**

Authorised Signatory / Director

Client's Signature



Option for Issue of Delivery Instruction Booklet (DIS Booklet)

Kindly confirm the manner of receiving DIS booklet (To be filled by person seeking to open a Depository account where Power of Attorney has been granted to operate the depository account)

I require you to issue Delivery Instruction Slip (DIS) booklet to me immediately on opening my NSDL account though I have issued a Power of Attorney (POA) executed in favour of SKI with for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through SKI.

I do not require the Delivery Instruction Slip (DIS) for the time being, since I have issued a POA in favour of SKI for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through SKI. However, the Delivery Instruction Slip (DIS) booklet should be issued to me immediately on my request at any later date

Date :

Client's Signature  _____

PREVENTION OF MONEY LAUNDERING

In compliance with the provisions of Prevention of Money Laundering Act, 2002 and subsequent circulars issued by SEBI thereto, we hereby obliged to consider it as a part of client registration document: -

Prevention of Money Laundering Act, 2002 (herein refer to an "Act") came into affect July 1, 2005 vide notification No. GRE 436(E) dated July 1,2005 issued by Department of Revenue, Ministry of Finance, Govt. of India. Further SEBI vide Circular reference number ISD/CIR/RR/AML/1/06 dated January 18,2006 mandated that all the Stock Brokers should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Client (KYC) policy. SEBI also issued another circular reference number ISD/CIR/RR/AML/2/06 dated March 23,2006 advising all the Stock Broker to take necessary steps to ensure compliance with the requirement of Sec12of the Act inter-alia, maintenance and preservation of records and reporting of information relating to cash and suspicious transactions to Financial Intelligence Unit-India (FIU-IND), New Delhi.

The constituents should ensure that the amount invested in the securities is through legitimate sources only and does not involve and is not designated for the purpose of contravention or evasion of the provision of the Income Tax Act, Prevention of Money Laundering Act, Prevention of corruption Act and/or any other law for the time being in force enacted by Govt. of India from time to time or any rules and regulations, notifications or directions issued there under.

To ensure appropriate identification of the constituents under its KYC policy and with view to monitor the transactions for the prevention of anti money laundering, the company has reserve the right to seek information, record constituents telephonic calls and/or obtained or retained documentation for establishing the identity of the constituents, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

The constituents or their attorney, if any, shall produce independent source documents, such as photographs, certified copies of ration card/passport/pan card/driving license or such other documents or produce such information as may be required from time to time for verification of the identity, residential address, financial information of the constituents by the company.

If the constituents refuses/fails to produce the required documents and information with in the period specified in the communication sent by company to the constituents, then the company after applying due diligence measures believes that the transaction is suspicious in nature within the purview of the Act and SEBI circulars issued from time to time or on account of deficiencies in the documentation shall have absolute discretion to report suspicious transaction to FIU-IND or to reject the application or to freeze the account of constituent. Thus the KYC documentation shall comply by all the constituents in its true spirit and word.

The Company, its Directors, its Employees and agents shall not be liable in any manner for any claim arising whatsoever on account of freezing of account or on rejection of application etc. due to noncompliance of the provisions of the Act, SEBI circulars and KYC policy and or where company believes that transaction is suspicious in nature within the purview of the Act or SEBI circulars and reporting the same to FIU-IND.

This document form an integral part of the client registration form as addendum or key information memorandum and will be subject to amendments from time to time.

Name :

Client's Signature  _____

FOR OFFICE USE ONLY

Date of Receiving

Unique Client Code

Internal / KYC Regn. No.

Client ID

CKYC Regn. No.

Name

Date of Opening & UCI

Group

Checked By

Place

AP Regn. No. /
Introduced by

IN PERSON VERIFICATION

Date & Place
of Verification

Name, Desg. and Sign.
of Auth. Person



SKI CAPITAL SERVICES LTD.

Member : NSE, BSE, MCX, MSEI. DP : NSDL

Regd. Office : 718, Dr. Joshi Road, Karol Bagh, New Delhi-110005 • Tel. : 011-45046000 (Board No.)

E-mail : contact@skicapital.net • Website: www.skicapital.net



Decide with Confidence
SKI as Leading Broking House of India



An ISO/IEC-27001 : 2013
Certified Company